S&P Global Ratings

Policy: Market Interaction
Date: 01 February 2017

Policy Statement

Employees must always deal fairly and honestly with Issuers, investors, other market participants, and the public. Employees must never use the name of any legal or regulatory authority in a way that would indicate or suggest endorsement, recommendation or approval by that authority of the Credit Ratings or Credit Rating Activities of the CRA.

S&P Global Ratings maintains a function that is responsible for communicating with market participants and the public about any questions, concerns, or complaints that the CRA may receive and communicating those concerns with management when appropriate.

Where appropriate and required, S&P Global Ratings tracks Complaints and retains records of them.